

FORM NL-12 & 12A - INVESTMENT SCHEDULE

Particulars	NL -12		NL -12A		(Amount in Rs. Lakhs)	
	Shareholders		Policyholders		Total	
	Jun 30, 2022	Jun 30, 2021	Jun 30, 2022	Jun 30, 2021	Jun 30, 2022	Jun 30, 2021
<b>LONG TERM INVESTMENTS</b>						
1 Government securities and Government guaranteed bonds including Treasury Bills	48,639	50,181	2,52,280	2,41,555	3,00,919	2,91,736
2 Other Approved Securities	-	-	-	-	-	-
3 Other Investments						
(a) Shares						
(aa) Equity	-	-	-	-	-	-
(bb) Preference	-	-	-	-	-	-
(b) Mutual Funds	-	-	-	-	-	-
(c) Derivative Instruments	-	-	-	-	-	-
(d) Debentures/ Bonds	19,339	19,289	1,00,307	92,852	1,19,646	1,12,141
(e) Other Securities (InvITs)	66	123	340	590	405	713
(f) Subsidiaries	-	-	-	-	-	-
(g) Investment Properties-Real Estate	-	-	-	-	-	-
4 Investments in Infrastructure and Housing	21,135	20,330	1,09,624	97,863	1,30,760	1,18,193
5 Other than Approved Investments	2,499	2,965	12,962	14,270	15,461	17,235
Less: Provision for doubtful investments	-	(482)	-	(2,318)	-	(2,800)
<b>TOTAL</b>	<b>91,678</b>	<b>92,407</b>	<b>4,75,512</b>	<b>4,44,812</b>	<b>5,67,190</b>	<b>5,37,218</b>
<b>SHORT TERM INVESTMENTS</b>						
1 Government securities and Government guaranteed bonds including Treasury Bills	1,701	-	8,823	-	10,524	-
2 Other Approved Securities	-	-	-	-	-	-
3 Other Investments						
(a) Shares						
(aa) Equity	5,230	6,424	27,125	30,922	32,355	37,346
(bb) Preference	-	-	-	-	-	-
(b) Mutual Funds	1,134	3,441	5,884	16,562	7,019	20,003
(c) Derivative Instruments	-	-	-	-	-	-
(d) Debentures/ Bonds	8,076	10,209	41,889	49,141	49,965	59,350
(e) Other Securities - TREP	229	401	1,186	1,929	1,415	2,330
(f) Subsidiaries	-	-	-	-	-	-
(g) Investment Properties-Real Estate	-	-	-	-	-	-
4 Investments in Infrastructure and Housing	5,938	1,890	30,800	9,099	36,738	10,989
5 Other than Approved Investments	1,799	1,654	9,332	7,960	11,131	9,614
Less: Provision for doubtful investments	-	(206)	-	(994)	-	(1,200)
<b>TOTAL</b>	<b>24,107</b>	<b>23,812</b>	<b>1,25,039</b>	<b>1,14,620</b>	<b>1,49,147</b>	<b>1,38,432</b>
<b>GRAND TOTAL</b>	<b>1,15,786</b>	<b>1,16,218</b>	<b>6,00,551</b>	<b>5,59,432</b>	<b>7,16,337</b>	<b>6,75,650</b>

Notes:

(a) Investments in subsidiary/holding companies, joint ventures and associates shall be separately disclosed, at cost.

- Holding company and subsidiary shall be construed as defined in the Companies Act, 1956 as amended by Company Act 2013:
- Joint Venture is a contractual arrangement whereby two or more parties undertake an economic activity, which is subject to joint control.
- Joint control - is the contractually agreed sharing of power to govern the financial and operating policies of an economic activity to obtain benefits from it.
- Associate - is an enterprise in which the company has significant influence and which is neither a subsidiary nor a joint venture of the company.

-Significant influence (for the purpose of this schedule) - means participation in the financial and operating policy decisions of a company, but not control of those policies. Significant influence may be exercised in several ways, for example, by representation on the board of directors, participation in the policymaking process, material inter-company transactions, interchange of managerial personnel or dependence on technical information. Significant influence may be gained by share ownership, statute or agreement. As regards share ownership, if an investor holds, directly or indirectly through subsidiaries, 20 percent or more of the voting power of the investee, it is presumed that the investor does have significant influence, unless it can be clearly demonstrated that this is not the case. Conversely, if the investor holds, directly or indirectly through subsidiaries, less than 20 percent of the voting power of the investee, it is presumed that the investor does not have significant influence, unless such influence is clearly demonstrated.

- A substantial or majority ownership by another investor does not necessarily preclude an investor from having significant influence.

(b) Aggregate amount of company's investments other than listed equity securities and derivative instruments and also the market value thereof shall be disclosed in the **Annexure A as specified below**.

(c) Investments made out of Catastrophe reserve should be shown separately.

(d) Debt securities will be considered as "held to maturity" securities and will be measured at historical cost subject to amortisation.

(e) Investment Property means a property [land or building or part of a building or both] held to earn rental income or for capital appreciation or for both, rather than for use in services or for administrative purposes.

(f) Investments maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve months from balance sheet date shall be classified as short-term investments

(g) Investment Regulations, as amended from time to time, to be referred

**A) Aggregate value of Investments other than Listed Equity Securities and Derivative Instruments****(Amount in Rs. Lakhs)**

<b>Particulars</b>	<b>Shareholders</b>		<b>Policyholders</b>		<b>Total</b>	
	<b>Jun 30, 2022</b>	<b>Jun 30, 2021</b>	<b>Jun 30, 2022</b>	<b>Jun 30, 2021</b>	<b>Jun 30, 2022</b>	<b>Jun 30, 2021</b>
<b>Long Term Investments--</b>						
<b>Book Value</b>	91,367	92,793	4,73,898	4,46,672	5,65,265	5,39,465
<b>market Value</b>	88,716	93,321	4,60,147	4,49,213	5,48,863	5,42,534
<b>Short Term Investments--</b>						
<b>Book Value</b>	16,102	20,987	83,518	1,08,853	99,621	1,29,840
<b>market Value</b>	16,116	22,390	83,588	1,16,133	99,704	1,38,524