

FORM NL-12 & 12A - INVESTMENT SCHEDULE

Particulars	NL -12		NL -12A		(Amount in Rs. Lakhs)	
	Shareholders		Policyholders		Total	
	Sep 30, 2021	Sep 30, 2020	Sep 30, 2021	Sep 30, 2020	Sep 30, 2021	Sep 30, 2020
LONG TERM INVESTMENTS						
1 Government securities and Government guaranteed bonds including Treasury Bills	51,986	40,272	2,35,983	2,00,009	2,87,969	2,40,281
2 Other Approved Securities	-	-	-	-	-	-
3 Other Investments						
(a) Shares	-	-	-	-	-	-
(aa) Equity	-	490	-	2,435	-	2,926
(bb) Preference	-	-	-	-	-	-
(b) Mutual Funds	-	-	-	-	-	-
(c) Derivative Instruments	-	-	-	-	-	-
(d) Debentures/ Bonds	20,386	20,839	92,538	1,03,496	1,12,924	1,24,335
(e) Other Securities (InvITs)	137	-	621	-	758	-
(f) Subsidiaries	-	-	-	-	-	-
(g) Investment Properties-Real Estate	-	-	-	-	-	-
4 Investments in Infrastructure and Housing	25,878	20,879	1,17,467	1,03,692	1,43,345	1,24,571
5 Other than Approved Investments	1,988	3,467	9,022	17,218	11,009	20,685
Less: Provision for doubtful investments	-	(355)	-	(1,763)	-	(2,119)
TOTAL	1,00,374	85,592	4,55,631	4,25,087	5,56,005	5,10,679
SHORT TERM INVESTMENTS						
1 Government securities and Government guaranteed bonds including Treasury Bills	467	-	2,118	-	2,585	-
2 Other Approved Securities	-	-	-	-	-	-
3 Other Investments						
(a) Shares						
(aa) Equity	8,641	4,783	39,223	23,754	47,864	28,537
(bb) Preference	-	-	-	-	-	-
(b) Mutual Funds	2,965	3,395	13,460	16,862	16,426	20,258
(c) Derivative Instruments	-	-	-	-	-	-
(d) Debentures/ Bonds	9,265	8,642	42,057	42,918	51,322	51,560
(e) Other Securities - TREP	685	777	3,110	3,858	3,795	4,635
(f) Subsidiaries	-	-	-	-	-	-
(g) Investment Properties-Real Estate	-	-	-	-	-	-
4 Investments in Infrastructure and Housing	2,165	1,172	9,826	5,821	11,990	6,993
5 Other than Approved Investments	1,499	904	6,804	4,488	8,303	5,391
Less: Provision for doubtful investments	-	(152)	-	(756)	-	(908)
TOTAL	25,686	19,520	1,16,598	96,946	1,42,284	1,16,466
GRAND TOTAL	1,26,060	1,05,112	5,72,229	5,22,033	6,98,289	6,27,145

Notes:

- (a) Investments in subsidiary/holding companies, joint ventures and associates shall be separately disclosed, at cost.
- Holding company and subsidiary shall be construed as defined in the Companies Act, 1956 as amended by Company Act 2013:
 - Joint Venture is a contractual arrangement whereby two or more parties undertake an economic activity, which is subject to joint
 - Joint control - is the contractually agreed sharing of power to govern the financial and operating policies of an economic activity to obtain benefits from it.
 - Associate - is an enterprise in which the company has significant influence and which is neither a subsidiary nor a joint venture of the company.
 - Significant influence (for the purpose of this schedule) - means participation in the financial and operating policy decisions of a company, but not control of those policies. Significant influence may be exercised in several ways, for example, by representation on the board of directors, participation in the policymaking process, material inter-company transactions, interchange of managerial personnel or dependence on technical information. Significant influence may be gained by share ownership, statute or agreement. As regards share ownership, if an investor holds, directly or indirectly through subsidiaries, 20 percent or more of the voting power of the investee, it is presumed that the investor does have significant influence, unless it can be clearly demonstrated that this is not the case. Conversely, if the investor holds, directly or indirectly through subsidiaries, less than 20 percent of the voting power of the investee, it is presumed that the investor does not have significant influence, unless such influence is clearly demonstrated.
 - A substantial or majority ownership by another investor does not necessarily preclude an investor from having significant influence.
- (b) Aggregate amount of company's investments other than listed equity securities and derivative instruments and also the market value thereof shall be disclosed in the **Annexure A as specified below**.
- (c) Investments made out of Catastrophe reserve should be shown separately.
- (d) Debt securities will be considered as "held to maturity" securities and will be measured at historical cost subject to amortisation.
- (e) Investment Property means a property [land or building or part of a building or both] held to earn rental income or for capital appreciation or for both, rather than for use in services or for administrative purposes.
- (f) Investments maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve months from balance sheet date shall be classified as short-term investments
- (g) Investment Regulations, as amended from time to time, to be referred

A) Aggregate value of Investments other than Listed Equity Securities and Derivative Instruments

Particulars	Shareholders		Policyholders		(Amount in Rs. Lakhs)	
	Total		Total		Total	
	Sep 30, 2021	Sep 30, 2020	Sep 30, 2021	Sep 30, 2020	Sep 30, 2021	Sep 30, 2020
Long Term Investments--						
Book Value	1,00,183	85,429	4,54,764	4,24,280	5,54,947	5,09,709
market Value	1,01,663	86,888	4,61,482	4,31,522	5,63,146	5,18,410
Short Term Investments--						
Book Value	16,087	14,488	73,026	71,952	89,114	86,440
market Value	16,337	14,054	74,160	69,799	90,497	83,853