

(Read with Regulation 10)

Name of the Insurer : ROYAL SUNDARAM GENERAL INSURANCE CO. LIMITED



Registration Number : 0102

Statement as on : 30-09-2020

Name of Fund : GENERAL INSURANCE

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs In Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter¹</u>								
	7.58% INR PNBHFL DB 15-03-2021	HTDN	1,000.00	15/11/2017	CARE	CARE AA+	CARE AA	07/07/2020	
	7.63% INR PNBHFL DB 15-12-2020	HTDN	1,500.00	31/03/2018	CARE	CARE AA+	CARE AA	07/07/2020	
	8.47% INR PNBHFL DB 01-07-2021	HTDN	1,000.00	31/03/2018	CARE	CARE AA+	CARE AA	07/07/2020	
B.	<u>As on Date²</u>								
	8.67% INR IDFC FIRST BANK DB 03-01-2025	ECOS	1,500.00	07/01/2015	FITCH	INID AAA	IND AA+	27/06/2018	
	8.70% INR IDFC FIRST BANK DB 20-05-2025	ECOS	1,000.00	20/05/2015	FITCH	IND AAA	IND AA+	27/06/2018	
	8.50% INR IDFC FIRST BANK DB 04-07-2023	ILBI	1,500.00	19/05/2016	FITCH	IND AAA	IND AA+	27/06/2018	
	8.50% INR IDFC FIRST BANK DB 04-07-2023	ILBI	1,500.00	19/05/2016	ICRA	ICRA AA+	ICRA AA	21/05/2019	
	8.67% INR IDFC FIRST BANK DB 03-01-2025	ECOS	1,500.00	07/01/2015	ICRA	ICRA AA+	ICRA AA	21/05/2019	
	8.70% INR IDFC FIRST BANK DB 20-05-2025	ECOS	1,000.00	02/08/2017	ICRA	ICRA AA+	ICRA AA	21/05/2019	
	9.10% INR IDFC FIRST BANK DB 31-05-2021	ECOS	1,500.00	22/03/2018	BRICKWO	BWR AAA	BWR AA+	21/05/2019	
	9.20% INR IDFC FIRST BANK DB 22-01-2021	ECOS	1,500.00	13/11/2017	BRICKWO	BWR AAA	BWR AA+	21/05/2019	
	9.05% INR DHFL DB 09-09-2023	ORAD	2,500.00	08/11/2017	CARE	CARE BBB-	CARE D	06/06/2019	
	9.15% INR DHFL DB 09-09-2021	ORAD	1,000.00	12/04/2017	CARE	CARE BBB-	CARE D	06/06/2019	
	9.25% INR DHFL DB 08-09-2023	ORAD	4,500.00	06/06/2017	CARE	CARE BBB-	CARE D	06/06/2019	
	9.25% INR DHFL DB 16-08-2021	ORAD	2,000.00	19/06/2017	CARE	CARE BBB-	CARE D	06/06/2019	
	9.05% INR DHFL DB 09-09-2023	ORAD	2,500.00	08/11/2017	BRICKWO	BWR BBB+	BWR D	06/06/2019	
	9.15% INR DHFL DB 09-09-2021	ORAD	1,000.00	12/04/2017	BRICKWO	BWR BBB+	BWR D	06/06/2019	
	9.25% INR DHFL DB 08-09-2023	ORAD	4,500.00	06/06/2017	BRICKWO	BWR BBB+	BWR D	06/06/2019	
	9.25% INR DHFL DB 16-08-2021	ORAD	2,000.00	19/06/2017	BRICKWO	BWR BBB+	BWR D	06/06/2019	
	8.75% INR IBHFL DB 26-09-2021	HTDN	10,000.00	31/03/2018	BRICKWO	BWR AAA	BWR AA+	22/10/2019	
	7.58% INR PNBHFL DB 15-03-2021	HTDN	1,000.00	31/03/2018	FITCH	IND AA+	IND AA	09/03/2020	
	7.63% INR PNBHFL DB 15-12-2020	HTDN	1,500.00	31/03/2018	FITCH	IND AA+	IND AA	09/03/2020	
	8.40% INR IBHFL DB 22-06-2021	HTDN	500.00	10/04/2018	CRISIL	CRISIL AA+	CRISIL AA	10/02/2020	
	8.47% INR PNBHFL DB 01-07-2021	HTDN	1,000.00	31/03/2018	FITCH	IND AA+	IND AA	09/03/2020	
	8.75% INR IBHFL DB 26-09-2021	HTDN	10,000.00	31/03/2018	CARE	CARE AA+	CARE AA	17/02/2020	

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Statement of Down Graded Investments

Periodicity of Submission: Quarterly

*Rs In Lakhs*

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
	8.40% INR IBHFL DB 22-06-2021	HTDN	500.00	10/04/2018	ICRA	ICRA AA+	ICRA AA	24/02/2020	
	7.58% INR PNBHFL DB 15-03-2021	HTDN	1,000.00	15/11/2017	CARE	CARE AA+	CARE AA	07/07/2020	
	7.63% INR PNBHFL DB 15-12-2020	HTDN	1,500.00	31/03/2018	CARE	CARE AA+	CARE AA	07/07/2020	
	8.47% INR PNBHFL DB 01-07-2021	HTDN	1,000.00	31/03/2018	CARE	CARE AA+	CARE AA	07/07/2020	

Note:

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM-2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated
4. Category of Investment (COI) shall be as per Guidelines issued by the Authority

FORM 2 PART A FOOTNOTE