

FORM - 2

(Read with Regulation 10)

Name of the Insurer : ROYAL SUNDARAM GENERAL INSURANCE CO. LIMITED
Registration Number : 102
Statement as on : 31-03-2019
Name of Fund : GENERAL INSURANCE
Statement of Down Graded Investments
Periodicity of Submission: Quarterly
Rs In Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u>								
	8.59% PNBHFL DB 17-06-2020	HTDN	2,000.00	6/17/2015	FITCH	IND AAA	IND AA+	1/10/2019	
	8.47% PNBHFL DB 01-07-2021	HTDN	1,000.00	7/8/2016	FITCH	IND AAA	IND AA+	1/10/2019	
	7.63% PNBHFL DB 15-12-2020	HTDN	1,500.00	6/15/2017	FITCH	IND AAA	IND AA+	1/10/2019	
	7.63% PNBHFL DB 14-07-2020	HTDN	2,500.00	6/7/2018	FITCH	IND AAA	IND AA+	1/10/2019	
	7.50% PNBHFL DB 15-09-2020	HTDN	1,000.00	7/25/2018	FITCH	IND AAA	IND AA+	1/10/2019	
	7.44% PNBHFL DB 31-10-2019	HTDN	1,000.00	4/6/2018	FITCH	IND AAA	IND AA+	1/10/2019	
	7.58% PNBHFL DB 15-03-2021	HTDN	1,000.00	11/15/2017	FITCH	IND AAA	IND AA+	1/10/2019	
	9.10% DHFL DB 16-08-2019	ORAD	2,032.78	8/23/2016	CARE	CARE AAA	CARE AA+	2/4/2019	
	9.25% DHFL DB 16-08-2021	ORAD	2,000.00	6/19/2017	CARE	CARE AAA	CARE AA+	2/4/2019	
	9.05% DHFL DB 09-09-2019	ORAD	2,500.00	3/22/2018	CARE	CARE AAA	CARE AA+	2/4/2019	
	9.10% DHFL DB 09-09-2019	ORAD	2,000.00	3/23/2018	CARE	CARE AAA	CARE AA+	2/4/2019	

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
	9.15% DHFL DB 09-09-2021	ORAD	1,000.00	4/12/2017	CARE	CARE AAA	CARE AA+	2/4/2019	
	9.05% DHFL DB 09-09-2023	ORAD	2,500.00	11/8/2017	CARE	CARE AAA	CARE AA+	2/4/2019	
	9.25% DHFL DB 08-09-2023	ORAD	4,500.00	6/6/2017	CARE	CARE AAA	CARE AA+	2/4/2019	
	7.6995% DHFL DB 26-08-2020	ORAD	1,000.00	8/10/2018	CARE	CARE AAA	CARE AA+	2/4/2019	
	9.10% DHFL DB 16-08-2019	ORAD	2,032.78	8/23/2016	BRICKWORKS	BWR AAA	BWR AA+	2/4/2019	
	9.25% DHFL DB 16-08-2021	ORAD	2,000.00	6/19/2017	BRICKWORKS	BWR AAA	BWR AA+	2/4/2019	
	9.05% DHFL DB 09-09-2019	ORAD	2,500.00	3/22/2018	BRICKWORKS	BWR AAA	BWR AA+	2/4/2019	
	9.10% DHFL DB 09-09-2019	ORAD	2,000.00	3/23/2018	BRICKWORKS	BWR AAA	BWR AA+	2/4/2019	
	9.15% DHFL DB 09-09-2021	ORAD	1,000.00	4/12/2017	BRICKWORKS	BWR AAA	BWR AA+	2/4/2019	
	9.05% DHFL DB 09-09-2023	ORAD	2,500.00	11/8/2017	BRICKWORKS	BWR AAA	BWR AA+	2/4/2019	
	9.25% DHFL DB 08-09-2023	ORAD	4,500.00	6/6/2017	BRICKWORKS	BWR AAA	BWR AA+	2/4/2019	
	9.10% DHFL DB 09-09-2019	ORAD	2,000.00	3/23/2018	CARE	CARE AA+	CARE AA-	3/7/2019	
	9.05% DHFL DB 09-09-2023	ORAD	2,500.00	11/8/2017	CARE	CARE AA+	CARE AA-	3/7/2019	
	9.05% DHFL DB 09-09-2019	ORAD	2,500.00	3/22/2018	CARE	CARE AA+	CARE AA-	3/7/2019	
	9.15% DHFL DB 09-09-2021	ORAD	1,000.00	4/12/2017	CARE	CARE AA+	CARE AA-	3/7/2019	
	9.25% DHFL DB 08-09-2023	ORAD	4,500.00	6/6/2017	CARE	CARE AA+	CARE AA-	3/7/2019	

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
	7.6995% DHFL DB 26-08-2020	ORAD	1,000.00	8/10/2018	CARE	CARE AA+	CARE AA-	3/7/2019	
	9.10% DHFL DB 16-08-2019	ORAD	2,032.78	8/23/2016	CARE	CARE AA+	CARE AA-	3/7/2019	
	9.25% DHFL DB 16-08-2021	ORAD	2,000.00	6/19/2017	CARE	CARE AA+	CARE AA-	3/7/2019	
	9.10% DHFL DB 16-08-2019	ORAD	2,032.78	8/23/2016	BRICKWORKS	BWR AA+	BWR AA	3/11/2019	
	9.25% DHFL DB 16-08-2021	ORAD	2,000.00	6/19/2017	BRICKWORKS	BWR AA+	BWR AA	3/11/2019	
	9.05% DHFL DB 09-09-2019	ORAD	2,500.00	3/22/2018	BRICKWORKS	BWR AA+	BWR AA	3/11/2019	
	9.10% DHFL DB 09-09-2019	ORAD	2,000.00	3/23/2018	BRICKWORKS	BWR AA+	BWR AA	3/11/2019	
	9.15% DHFL DB 09-09-2021	ORAD	1,000.00	4/12/2017	BRICKWORKS	BWR AA+	BWR AA	3/11/2019	
	9.05% DHFL DB 09-09-2023	ORAD	2,500.00	11/8/2017	BRICKWORKS	BWR AA+	BWR AA	3/11/2019	
	9.25% DHFL DB 08-09-2023	ORAD	4,500.00	6/6/2017	BRICKWORKS	BWR AA+	BWR AA	3/11/2019	

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
B.	<u>As on Date</u>								
	8.23% PNBHFL DB 09-04-2019	HTDN	999.00	05/02/2016	ICRA	LAAA	ICRA AA+	01/03/2016	
	8.64% IDFC DB 15-04-2020	ECOS	2,000.00	20/04/2015	FITCH	LAAA	IND AA+	27/06/2018	
	8.67% IDFC DB 03-01-2025	ECOS	1,500.00	07/01/2015	FITCH	LAAA	IND AA+	27/06/2018	
	8.70% IDFC DB 20-05-2025	ECOS	1,000.00	20/05/2015	FITCH	LAAA	IND AA+	27/06/2018	
	8.50% IDFC Bank DB 04-07-2023	ILBI	1,500.00	19/05/2016	FITCH	IND AAA	IND AA+	27/06/2018	
	8.64% IDFC DB 15-04-2020	ECOS	2,000.00	20/04/2015	ICRA	AAA	AA+	15/11/2018	
	8.67% IDFC DB 03-01-2025	ECOS	1,500.00	07/01/2015	ICRA	AAA	AA+	15/11/2018	
	8.70% IDFC DB 20-05-2025	ECOS	1,000.00	20/05/2015	ICRA	AAA	AA+	15/11/2018	
	8.50% IDFC Bank DB 04-07-2023	ILBI	1,500.00	19/05/2016	ICRA	AAA	AA+	15/11/2018	
	8.59% PNBHFL DB 17-06-2020	HTDN	2,000.00	17/06/2015	FITCH	IND AAA	IND AA+	10/01/2019	
	8.47% PNBHFL DB 01-07-2021	HTDN	1,000.00	08/07/2016	FITCH	IND AAA	IND AA+	10/01/2019	
	7.63% PNBHFL DB 15-12-2020	HTDN	1,500.00	15/06/2017	FITCH	IND AAA	IND AA+	10/01/2019	
	7.63% PNBHFL DB 14-07-2020	HTDN	2,500.00	07/06/2018	FITCH	IND AAA	IND AA+	10/01/2019	
	7.50% PNBHFL DB 15-09-2020	HTDN	1,000.00	25/07/2018	FITCH	IND AAA	IND AA+	10/01/2019	
	7.44% PNBHFL DB 31-10-2019	HTDN	1,000.00	06/04/2018	FITCH	IND AAA	IND AA+	10/01/2019	
	7.58% PNBHFL DB 15-03-2021	HTDN	1,000.00	15/11/2017	FITCH	IND AAA	IND AA+	10/01/2019	

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	9.25% DHFL DB 16-08-2021	ORAD	2,000.00	19/06/2017	CARE	CARE AAA	CARE AA+	04/02/2019	
	9.05% DHFL DB 09-09-2019	ORAD	2,500.00	22/03/2018	CARE	CARE AAA	CARE AA+	04/02/2019	
	9.10% DHFL DB 09-09-2019	ORAD	2,000.00	23/03/2018	CARE	CARE AAA	CARE AA+	04/02/2019	
	9.15% DHFL DB 09-09-2021	ORAD	1,000.00	12/04/2017	CARE	CARE AAA	CARE AA+	04/02/2019	
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	9.25% DHFL DB 08-09-2023	ORAD	4,500.00	06/06/2017	CARE	CARE AAA	CARE AA+	04/02/2019	
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	9.10% DHFL DB 09-09-2019	ORAD	2,000.00	23/03/2018	BRICKWORKS	BWR AAA	BWR AA+	04/02/2019	
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	9.05% DHFL DB 09-09-2019	ORAD	2,500.00	22/03/2018	BRICKWORKS	BWR AA+	BWR AA	11/03/2019	
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	9.15% DHFL DB 09-09-2021	ORAD	1,000.00	12/04/2017	BRICKWORKS	BWR AA+	BWR AA	11/03/2019	
	9.05% DHFL DB 09-09-2023	ORAD	2,500.00	08/11/2017	BRICKWORKS	BWR AA+	BWR AA	11/03/2019	
	9.25% DHFL DB 08-09-2023	ORAD	4,500.00	06/06/2017	BRICKWORKS	BWR AA+	BWR AA	11/03/2019	

Note:

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM-2 shall be prepared at Segregated Fund (SFIN) level and also at
4. Category of Investmet (COI) shall be as per Guidelines issued by the Authority