

(Read with Regulation 10)

Name of the Insurer : ROYAL SUNDARAM ALLIANCE INSURANCE COMPANY LTD.

Registration Number : 102

Statement as on : 30-09-2015

Statement of Investment and Income on Investment

Name of Fund : GENERAL INSURANCE

Periodicity of Submission : Quarterly

Rs. In lakhs

No	Category of Investment	Category Code	Current Quarter					Year to Date (current year)					Year to Date (previous year)				
			Investment Book Value	Investment Market Value	Income On Investment (Rs.)	Gross Yield (%)	Net Yield (%)	Investment Book Value	Investment Market Value	Income On Investment (Rs.)	Gross Yield (%)	Net Yield (%)	Investment Book Value	Investment Market Value	Income On Investment (Rs.)	Gross Yield (%)	Net Yield (%)
1	CENTRAL GOVT. BONDS	CGSB	90,711	95,630	1,811	2.00	2.00	89,768	95,630	3,562	3.97	3.97	64,022	72,239	2,552	3.99	3.99
2	SPECIAL DEPOSITS	CSPD	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
3	DEPOSIT UNDER SECTION 7 OF INSURANCE ACT 1938	CDSS	1,490	0	10	0.66	0.66	1,489	0	41	2.74	2.74	1,015	1,008	37	3.69	3.69
4	TREASURY BILLS	CTRB	0	0	0	0.00	0.00	0	0	0	0.00	0.00	6,989	3,493	306	4.38	4.38
5	CENTRAL GOVERNMENT GUARANTEED LOANS / BONDS	CGSL	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
6	STATE GOVERNMENT BONDS	SGGB	7,451	7,527	153	2.06	2.06	7,455	7,527	307	4.12	4.12	0	0	0	0.00	0.00
7	STATE GOVERNMENT GUARANTEED LOANS	SGGL	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
8	OTHER APPROVED SECURITIES (EXCLUDING INFRASTRUCTURE INVESTMENTS)	SGOA	0	0	0	0.00	0.00	0	0	0	0.00	0.00	6,998	6,952	268	3.83	3.83
9	GUARANTEED EQUITY	SGGE	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
10	LOANS TO STATE GOVERNMENT FOR HOUSING	HLSH	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
11	LOANS TO STATE GOVERNMENT FOR FIRE FIGHTING EQUIPMENTS	HLSF	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
12	TERM LOAN - HUDCO / NHB / INSTITUTIONS ACCREDITED BY NHB	HTLH	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
13	COMMERCIAL PAPERS - NHB / INSTITUTIONS ACCREDITED BY NHB	HTLN	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
14	HOUSING - SECURITISED ASSETS	HMBS	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
15	TAXABLE BONDS - BONDS / DEBENTURES ISSUED BY HUDCO	HTHD	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
16	DEBENTURES / BONDS / CPS / LOANS - (PROMOTER GROUP)	HDPG	6,000	6,152	149	2.49	2.49	6,000	6,152	298	4.96	4.96	4,410	5,053	225	5.09	5.09
17	TAXABLE BONDS- BONDS / DEBENTURES ISSUED BY NHB / INSTITUTIONS ACCREDITED BY NHB	HTDN	25,617	25,459	568	2.22	2.22	25,437	25,459	1,128	4.44	4.44	25,002	25,037	1,181	4.72	4.72
18	TAXABLE -BONDS / DEBENTURES ISSUED BY AUTHORITY CONSTITUTED UNDER ANY HOUSING / BUILDING SCHEME APPROVED BY CENTRAL / STATE / ANY AUTHORITY OR BODY CONSTITUTED BY CENTRAL / STATE ACT	HTDA	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
19	TAX FREE BONDS-BONDS / DEBENTURES ISSUED BY NHB / INSTITUTIONS ACCREDITED BY NHB	HFDN	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
20	BONDS / DEBENTURES ISSUED BY HUDCO	HFHD	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00

21	TAX FREE BONDS / DEBENTURES ISSUED BY AUTHORITY CONSTITUTED UNDER ANY HOUSING / BUIDLING SCHEME APPROVED BY CENTRAL / STATE / ANY AUTHORITY OR BODY CONSTITUTED BY CENTRAL / STATE ACT	HFDA	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
22	DEBENTURES / BONDS / CPS / LOANS	HODS	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
23	HOUSING - SECURITISED ASSETS	HOMB	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
24	DEBENTURES / BONDS / CPS / LOANS - (PROMOTER GROUP)	HOPG	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
25	INFRASTRUCTURE - OTHER APPROVED SECURITIES	ISAS	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
26	INFRASTRUCTURE - PSU - EQUITY SHARES - QUOTED	ITPE	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
27	INFRASTRUCTURE - CORPORATE SECURITIES - EQUITY SHARES-QUOTED	ITCE	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
28	INFRASTRUCTURE - EQUITY AND EQUITY RELATED INSTRUMENTS (PROMOTER GROUP)	IEPG	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
29	INFRASTRUCTURE - SECURITISED ASSETS	IESA	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
30	INFRASTRUCTURE - DEBENTURES / BONDS / CPS / LOANS - (PROMOTER GROUP)	IDPG	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
31	INFRASTRUCTURE - INFRASTRUCTURE DEVELOPMENT FUND (IDF)	IDDF	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
32	TAXABLE BONDS-INFRASTRUTURE - PSU - DEBENTURES / BONDS	IPTD	39,733	42,782	975	2.35	2.35	39,102	42,782	1,888	4.73	4.73	51,345	49,545	2,355	4.59	4.59
33	INFRASTRUCTURE - PSU - CPS	IPCP	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
34	TAXABLE BONDS-INFRASTRUCTURE - OTHER CORPORATE SECURITIES - DEBENTURES / BONDS	ICTD	17,920	18,101	400	2.23	2.23	17,460	18,101	781	4.47	4.47	11,122	10,943	514	4.62	4.62
35	INFRASTRUCTURE - OTHER CORPORATE SECURITIES - CPS	ICCP	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
36	INFRASTRUCTURE - TERM LOANS (WITH CHARGE)	ILWC	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
37	INFRASTRUCTURE - PSU - DEBENTURES / BONDS	IPFD	12,785	9,178	752	5.88	5.88	14,052	9,178	1,173	8.35	8.35	11,997	12,616	478	3.98	3.98
38	INFRASTRUCTURE - OTHER CORPORATE SECURITIES - DEBENTURES/ BONDS	ICFD	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
39	INFRASTRUCTURE - EQUITY (INCLUDING UNLISTED)	IOEQ	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
40	INFRASTRUCTURE - DEBENTURES / BONDS / CPS / LOANS	IODS	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
41	INFRASTRUCTURE - SECURITISED ASSETS	IOSA	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
42	INFRASTRUCTURE - EQUITY (PROMOTER GROUP)	IOPE	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
43	INFRASTRUCTURE - DEBENTURES / BONDS / CPS / LOANS - (PROMOTER GROUP)	IOPD	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
44	PSU - (APPROVED INVESTMENT) - EQUITY SHARES - QUOTED	EAEQ	1,340	1,070	48	3.58	3.58	1,380	1,070	65	4.73	4.73	307	187	15	4.81	4.81
45	CORPORATE SECURITIES (APPROVED INVESTMENT) - EQUITY SHARES (ORDINARY) - QUOTED	EACE	6,770	5,465	488	7.21	7.21	7,450	5,465	633	8.50	8.50	473	914	49	10.36	10.36

46	EQUITY SHARES - COMPANIES INCORPORATED OUTSIDE INDIA (INVESTED PRIOR TO IRDA REGULATIONS)	EFES	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
47	EQUITY SHARES (INCL. EQUITY RELATED INSTRUMENTS) - PROMOTER GROUP	EEPG	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
48	CORPORATE SECURITIES-BOND-(TAXABLE)	EPBT	1,220	518	28	2.29	2.29	2,104	518	95	4.53	4.53	5,705	5,527	259	4.54	4.54
49	CORPORATE SECURITIES - BONDS (TAX FREE)	EPBF	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
50	CORPORATE SECURITIES (APPROVED INVESTMENT) - PREFERENCE SHARES	EPNQ	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
51	CORPORATE SECURITIES (APPROVED INVESTMENT) - INVESTMENT IN SUBSIDIARIES	ECIS	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
52	CORPORATE SECURITIES (APPROVED INVESTMENT) - DEBENTURES	ECOS	33,993	39,579	814	2.40	2.40	31,931	39,579	1,529	4.79	4.79	20,631	26,050	1,012	4.90	4.90
53	CORPORATE SECURITIES - DEBENTURES / BONDS/ CPS /LOAN - (PROMOTER GROUP)	EDPG	6,000	6,103	148	2.47	2.47	5,956	6,103	304	5.11	5.11	6,585	6,609	328	4.98	4.98
54	CORPORATE SECURITIES (APPROVED INVESTMENT) - DERIVATIVE INSTRUMENT	ECDI	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
55	BILLS RE - DISCOUNTING	ECBR	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
56	MUNICIPAL BONDS	EMUN	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
57	INVESTMENT PROPERTIES - IMMOVABLE	EINP	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
58	LOANS - POLICY LOANS	ELPL	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
59	LOANS - SECURED LOANS - MORTGAGE OF PROPERTY IN INDIA (TERM LOAN)	ELMI	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
60	LOANS - SECURED LOANS - MORTGAGE OF PROPERTY OUTSIDE INDIA (TERM LOAN)	ELMO	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
61	DEPOSITS - DEPOSIT WITH SCHEDULED BANKS, FIS (INCL. BANK BALANCE AWAITING INVESTMENT), CCIL, RBI	ECDB	40	40	0	0.00	0.00	40	40	0	0.00	0.00	40	40	0	0.00	0.00
62	DEPOSITS - CDS WITH SCHEDULED BANKS	EDCD	2,488	0	25	0.99	0.99	2,491	0	37	1.49	1.49	13,107	7,307	585	4.46	4.46
63	DEPOSITS - REPO / REVERSE REPO - GOVT SECURITIES	ECMR	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
64	DEPOSITS - REPO / REVERSE REPO - CORPORATE SECURITIES	ECCR	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
65	DEPOSIT WITH PRIMARY DEALERS DULY RECOGNISED BY RESERVE BANK OF INDIA	EDPD	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
66	CCIL - (APPROVED INVESTMENT) - CBLO	ECBO	4,854	3,970	87	1.79	1.79	4,323	3,970	157	3.62	3.62	3,846	2,895	154	4.01	4.01
67	COMMERCIAL PAPERS	ECCP	0	0	0	0.00	0.00	0	0	0	0.00	0.00	1,965	0	70	3.54	3.54
68	PSU - (APPROVED INVESTMENT) - EQUITY SHARES - QUOTED	ETPE	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
69	APPLICATION MONEY	ECAM	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
70	PERPETUAL DEBT INSTRUMENTS OF TIER I AND II CAPITAL ISSUED BY PSU BANKS	EUPD	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
71	PERPETUAL DEBT INSTRUMENTS OF TIER I AND II CAPITAL ISSUED BY NON-PSU BANKS	EPPD	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
72	PERPETUAL NON-CUM. P.SHARES AND REDEEMABLE CUMULATIVE P.SHARES OF TIER 1 AND 2 CAPITAL ISSUED BY PSU BANKS	EUPS	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
73	PERPETUAL NON-CUM. P.SHARES AND REDEEMABLE CUMULATIVE P.SHARES OF TIER 1 AND 2 CAPITAL ISSUED BY NON-PSU BANKS	EPPS	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
74	CORPORATE SECURITIES (APPROVED INVESTMENT) - EQUITY SHARES - QUOTED	ETCE	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
75	FOREIGN DEBT SECURITIES (INVESTED PRIOR TO IRDA REGULATIONS)	EFDS	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
76	CORPORATE SECURITIES (APPROVED INVESTMENT) - EQUITY - UNQUOTED	EENQ	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00

77	MUTUAL FUNDS - GILT / G SEC / LIQUID SCHEMES	EGMF	2,432	0	47	1.92	1.92	2,204	0	47	2.12	2.12	1,789	0	0	0.00	0.00
78	MUTUAL FUNDS - (UNDER INSURERS PROMOTER GROUP)	EMPG	605	0	11	1.76	1.76	540	0	11	1.98	1.98	1,054	0	0	0.00	0.00
79	NET CURRENT ASSETS (ONLY IN RESPECT OF ULIP BUSINESS)	ENCA	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
80	LONG TERM BANK BONDS APPROVED INVESTMENT - AFFORDABLE HOUSING	HLBH	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
81	LONG TERM BANK BONDS APPROVED INVESTMENT - INFRASTRUCTURE	ILBI	3,000	3,081	66	2.20	2.20	3,000	3,081	131	4.37	4.37	0	0	0	0.00	0.00
82	OTHER THAN APPROVED INVESTMENTS - BONDS - PSU - TAXABLE	OBPT	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
83	OTHER THAN APPROVED INVESTMENTS - BONDS - PSU - TAX FREE	OBPF	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
84	EQUITY SHARES (PSUS AND UNLISTED)	OEPU	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
85	EQUITY SHARES (INCL. EQUITY RELATED INSTRUMENTS) - PROMOTER GROUP	OEPG	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
86	OTHER THAN APPROVED INVESTMENTS - DEBENTURES	OLDB	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
87	DEBENTURES / BONDS/ CPS / LOANS ETC. - (PROMOTER GROUP)	ODPG	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
88	MUNICIPAL BONDS	OMUN	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
89	CORPORATE SECURITIES (OTHER THAN APPROVED INVESTMENT) - MUTUAL FUNDS	OMSP	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
90	CORPORATE SECURITIES (OTHER THAN APPROVED INVESTMENT) - MUTUAL FUNDS	OMDI	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
91	COMMERCIAL PAPERS	OACP	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
92	CORPORATE SECURITIES (OTHER THAN APPROVED INVESTMENT) - MUTUAL FUNDS	OMLF	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
93	OTHER THAN APPROVED INVESTMENTS - PREFERENCE SHARES	OPSH	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
94	OTHER THAN APPROVED INVESTMENTS - EQUITY SHARES (INCL. COOP SOCIETIES)	OESH	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
95	VENTURE FUND / SEBI APPROVED ALTERNATE INVESTMENT FUND	OVNF	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
96	OTHER THAN APPROVED INVESTMENTS - SHORT TERM LOANS (UNSECURED DEPOSITS)	OSLU	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
97	OTHER THAN APPROVED INVESTMENTS - TERM LOANS (WITHOUT CHARGE)	OTLW	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
98	CORPORATE SECURITIES (OTHER THAN APPROVED INVESTMENT) - MUTUAL FUNDS	OMGS	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
99	MUTUAL FUNDS - (UNDER INSURERS PROMOTER GROUP)	OMPG	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
100	CORPORATE SECURITIES (OTHER THAN APPROVED INVESTMENT) - MUTUAL FUNDS	OMOT	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
101	CORPORATE SECURITIES (OTHER THAN APPROVED INVESTMENT) - DERIVATIVE INSTRUMENTS	OCDI	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
102	OTHER THAN APPROVED INVESTMENT - PTC / SECURITISED ASSETS - UNDER APPROVED SECTORS	OPSA	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
103	INVESTMENT PROPERTIES - IMMOVABLE	OIPI	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
104	LONG TERM BANK BONDS OTHER INVESTMENT - INFRASTRUCTURE	IOLB	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
105	LONG TERM BANK BONDS OTHER INVESTMENT - AFFORDABLE HOUSING	HOLB	0	0	0	0.00	0.00	0	0	0	0.00	0.00	0	0	0	0.00	0.00
Total			264,448	264,656	6,579	2.47	2.47	262,182	264,656	12,187	4.63	4.63	238,402	236,415	10,388	4.36	4.36

CERTIFICATE

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date : 23-10-2015

Signature
Full Name :Venkatachalam Sekar
Chief of Finance

Note : Category of Investment (COI) shall be as per Guidelines, as amended from time to time

1 - Based on daily simple Average of Investments

2 - Yield netted for Tax

3 - In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown

4 - FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

FORM 1 FOOTNOTE